

Applicant or Patentee: Franco Arcieri et al. Attorney's Docket No. B-4096PCT 618573-5 Serial or Patent No. \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

International Application No. PCT/IT99/00263 filed 11 August 1999

For: "APPARATUS FOR CONTROL AND CERTIFICATION OF THE DELIVERY OF GOODS OBJECT OF ELECTRONIC COMMERCE AND FOR THE CONCURRENT CONTROL AND CERTIFICATION OF THE EXECUTION OF THE RELATED PAYMENT"

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27(b)) -- INDEPENDENT INVENTOR(S)**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "APPARATUS FOR CONTROL AND CERTIFICATION OF THE DELIVERY OF GOODS OBJECT OF ELECTRONIC COMMERCE AND FOR THE CONCURRENT CONTROL AND CERTIFICATION OF THE EXECUTION OF THE RELATED PAYMENT" described in

☐ the specification filed herewith.

☐ application serial no.: \_\_\_\_\_, filed \_\_\_\_\_

☒ International Application No. PCT/IT99/00263, filed 11 Aug. 1999, and identified as U.S. Application No. 09/762,541

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☐ no such person, concern, or organization

☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME ALASI DI ARCIERI FRANCO & C. s.a.s.

ADDRESS Via Mario Chiri 25 00171 - Roma ITALY

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

☒ Franco ARCIERI  
Name of First Inventor

  
Signature of First Inventor

10 MARCH 2001  
Date

☒ Guido Maria MARINELLI  
Name of Second Inventor

  
Signature of Second Inventor

10 MARCH 2001  
Date

☒ Maurizio TALAMO  
Name of Third Inventor

  
Signature of Third Inventor

10 MARCH 2001  
Date

09762541 " 044160

Applicant or Patentee: Franco Arcieri et al Attorney's Docket No. B-4096 PCT 618573-5

Serial or Patent No. \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

International Application No. PCT/IT99/00263 filed 11 August 1999For: "APPARATUS FOR CONTROL AND CERTIFICATION OF THE DELIVERY OF GOODS  
OBJECT OF ELECTRONIC COMMERCE AND FOR THE CONCURRENT CONTROL AND  
CERTIFICATION OF THE EXECUTION OF THE RELATED PAYMENT"**Verified Statement (Declaration) Claiming Small Entity Status  
37 CFR 1.9(f) and 1.27(c) - Small Business Concern**

I hereby declare that I am:

☐ \_\_\_\_\_

the owner of the small business concern identified below:

☐ \_\_\_\_\_

an official of the small business concern empowered to act on behalf of the concern

identified below:

Name of Concern: ALASI DI ARCIERI FRANCO & C. s.a.s.Address of Concern: Via Mario Chiri 25 00171 - Roma ITALY

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: "APPARATUS FOR CONTROL AND CERTIFICATION OF THE DELIVERY OF GOODS OBJECT OF ELECTRONIC COMMERCE AND FOR THE CONCURRENT CONTROL AND CERTIFICATION OF THE EXECUTION OF THE RELATED PAYMENT" by inventor(s): Franco ARCIERI, Guido Maria MARINELLI, Maurizio TALAMO

described in:

☐ \_\_\_\_\_

the specification filed herewith.

☒ \_\_\_\_\_application serial no.: 09 / 768,541, filed February 8<sup>th</sup>, 2001.☐ \_\_\_\_\_

patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\*, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: FRANCO ARCIERI

Title of Person Other Than Owner: \_\_\_\_\_

Address of Person Signing: VIA MARIO CHIRI 25 - 00171 ROME - ITALY

X Signature: \_\_\_\_\_

Date: 60 MARCA 2001

PATENT

Attorney's Docket No. B-4096PCT 618573-5

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- ☒ original  
☐ design  
☐ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☒ national stage of PCT

NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION, OR CIP.

- ☐ divisional  
☐ continuation  
☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

" APPARATUS FOR CONTROL AND CERTIFICATION OF THE DELIVERY OF GOODS OBJECT OF ELECTRONIC COMMERCE AND FOR THE CONCURRENT CONTROL AND CERTIFICATION OF THE EXECUTION OF THE RELATED PAYMENT"

SPECIFICATION IDENTIFICATION

the specification of which: (complete (a), (b) or (c))

- (a) ☐ is attached hereto.  
(b) ☐ was filed on \_\_\_\_\_ as ☒ Serial No. \_\_\_\_\_  
or ☐ Express Mail No., as Serial No. not yet known, \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

- (c) ☒ was described and claimed in PCT International Application No. PCT/IT99/00263 filed on 11 August 1999 as amended under PCT Article 19 (1) on \_\_\_\_\_ (if any), and identified as U.S. Serial No. 09/762,541

# ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code Federal Regulations § 1.56.

☐ In compliance with this duty there is attached an information disclosure statement 37 CFR 1.97.

## PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign applications(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) ☐ no such applications have been filed.  
(e) ☒ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

## EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN(S)) PRIOR TO THIS U.S. APPLICATION

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
ITALY	RM98A000541	12 August 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

## ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN(S)) PRIOR TO THIS U.S. APPLICATION

## POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Richard P. Berg, Reg. No. 28,145  
Mavis S. Gallenson, Reg. No. 32,464  
Kam C. Louie, Reg. No. 33,008  
Ross A. Schmitt, Reg. No. 42,529

Victor Repkin, Reg. No. 45,039  
John Palmer, Reg. No. 36,885  
Peter D. Galloway, Reg. No. 27, 885  
William R. Evans, Reg. No. 25, 858

(check the following item, if applicable)